

Electronic Acknowledgement Receipt

EFS ID:	3360343
Application Number:	10828269
International Application Number:	
Confirmation Number:	5446
Title of Invention:	RISK MANAGEMENT SYSTEM AND METHOD PROVIDING RULE-BASED EVOLUTION OF A PORTFOLIO OF INSTRUMENTS
First Named Inventor/Applicant Name:	Jim Degraaf
Customer Number:	1059
Filer:	Kendrick Lo./Shelley Cotgrave
Filer Authorized By:	Kendrick Lo.
Attorney Docket Number:	11483-174
Receipt Date:	27-MAY-2008
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Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 1740
RAM confirmation Number	11472
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1		11483_174_issue_fee.PDF	470798 04701dc8e5c5534b3bf678b4b15b43a1a0547c2b	yes	3

Multipart Description/PDF files in .zip description

Document Description	Start	End
Miscellaneous Incoming Letter	1	1
Miscellaneous Incoming Letter	2	2
Issue Fee Payment (PTO-85B)	3	3

Warnings:

Information:

2	Fee Worksheet (PTO-06)	fee-info.pdf	8343 aa135d75bfb6d9dd90253cc42c5c0d22027e880	no	2
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Warnings:

Information:

Total Files Size (in bytes):	479141
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

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If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

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